



CÙRAM IS SLÀINTE NAN EILEAN SIAR

WESTERN ISLES HEALTH AND SOCIAL CARE PARTNERSHIP

Integrated Joint Board - Financial monitoring report for the 12 months to 31 March 2017

Introduction

The financial monitoring report provides an overview of the Integrated Joint Board's year-end financial position. It contains the following sections:

1. Key Figures and Comments
2. Income and Expenditure
3. IJB Management and Administrative budgets
4. Head of Locality Services budgets
5. Head of Partnership Services budgets
6. Head of Dental Services budgets
7. Head of Mental Health budgets
8. Associate Medical Director budgets
9. Alcohol and Drugs Partnership
10. NHS Set Aside budgets

1. Key Figures and Comments

Overall Position at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Revenue						
NHS Partner	38,356	38,150	206	38,356	38,150	206
Comhairle	20,255	19,018	1,237	20,255	19,018	1,237
Surplus/ (Deficit)	58,611	57,168	1,443	58,611	57,168	1,443

Variations

- 1.1 This report reflects the full year spend and explains any variances which have arisen in the financial year 2016/17.
- 1.2 At the year-end the Integrated Joint Board (IJB) is showing an underspend of £1,443k subject to the accounts being audited. The main variations were as follows:
 - CnES Residential Care is under spent by £74k at year-end. Actual income was significantly higher than had been previously forecast. This has been largely due to the financial assessment team reducing the number of outstanding assessments and these assessments generating higher actual charges than had been assumed previously. Provision has been made to reflect a level of potential bad or doubtful debt from income invoiced or accrued to date. A comprehensive report on residential income will be on this IJB agenda.
 - Independent Care Homes are under spent by £228k. For the same reasons as described for Residential Care, actual income has out-turned higher than previously forecast.
 - Home Care is under spent by £492k at year-end. This includes under spends in management and coordination and reflects a number of unfilled vacancies across the contracted workforce. It also includes levels of targeted savings in the Overnight Support Service and general home care redesign that are to be directed to the introduction of a reablement team, an urgent care response team and the roll-out of contracts across the whole of the workforce. A comprehensive report on the redesign of homecare services will be on the IJB agenda.
 - There have been a number of other vacancies (excluding homecare) within both partner organisations which has resulted in underspends in community and CnES management , community nursing and dental services.

- The out of Hours service is £61k overspent due to an increased superannuation bill and an increase in weekend service provision in the Uists.

Efficiency Savings

- 1.3 The Integrated Joint Board's cash efficiency target was £1,135k, the IJB achieved the planned savings by the year end.

Financial Outlook

- 1.4 Although the IJB had a £1,443k underspend at the year-end, the underspend on the whole was due to receiving nonrecurring income relating to previous years assessments and nonrecurring underspends from vacancies which have now been filled or posts that were held pending redesign.
- 1.5 For both partners the 17/18 budget settlement was difficult and many of the savings within the IJB 17/18 efficiency plan are at a high risk of not achieving.
- 1.6 The 16/17 underspends will be transferred to the IJB general reserves as per agreed reserve policy and will be used to offset the likely increase in future provision required in the coming years.

2. Income and Expenditure Summary

Sections 2 to 9 of this report provide further detail on the operational position

Income & Expenditure at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Expenditure						
Chief Officer - Management	1,934	1,778	156	1,934	1,778	156
Head of Locality Services	18,167	17,428	739	18,167	17,428	739
Head of Partnership Services	11,210	10,781	429	11,210	10,781	429
Head of Dental Services	3,198	3,066	132	3,198	3,066	132
Head of Mental Health Services	2,620	2,564	56	2,620	2,564	56
Associate Medical Director	15,015	15,084	(69)	15,015	15,084	(69)
Alcohol and Drugs Partnership	531	531	-	531	531	-
Acute Set Aside	5,936	5,936	0	5,936	5,936	0
Total Net Cost	58,611	57,168	1,443	58,611	57,168	1,443

2.1 The above table shows the IJB's overall spending position at the year-end analysed by Heads of Service. Subsequent sections give more detail on each of the lines shown above.

3. Chief Officer Administration and Management

Chief Officer - Management at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Community Management	689	633	56	689	633	56
Community Admin	97	102	(5)	97	102	(5)
CnES Management and Admin	842	737	105	842	737	105
Housing Services	306	306	0	306	306	0
Surplus/ (Deficit)	1,934	1,778	156	1,934	1,778	156

3.1 The above table shows the spending position on the Chief Officer's management budgets. The year-end underspend of £156k is due to vacancies within the NHS Partner's community management budget and within CnES Management and Admin budget.

4. Head of Locality Services

Head of Locality Services at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Community Nursing	3,174	3,098	76	3,174	3,098	76
Community Hospitals	1,985	2,027	(42)	1,985	2,027	(42)
CnES Residential Care	4,309	4,235	74	4,309	4,235	74
Adult Care and Support Services	3,214	3,061	153	3,214	3,061	153
CnES Home Care	5,369	4,877	492	5,369	4,877	492
Adult Care Transport	116	130	(14)	116	130	(14)
Community Care	0	0	0	0	0	0
Surplus/ (Deficit)	18,167	17,428	739	18,167	17,428	739

- 4.1 The above table shows the spending position on the Head of Locality's budgets. There is a year-end underspend of £739k.
- 4.2 The underspend of £76k in Community Nursing is due to vacancies within the community teams together with the corresponding underspend on car mileage.
- 4.3 The underspend of £153k against Adult Care and support Services is mostly due to historic assessments generating higher actual charges than had been assumed previously.
- 4.4 CnES Residential Care is under spent by £74k at year-end. Actual income was significantly higher than had been previously forecast. This has been largely due to the financial assessment team reducing the number of outstanding assessments and these assessments generating higher actual charges than had been assumed previously. Provision has been made to reflect a level of potential bad or doubtful debt from income invoiced or accrued to date.
- 4.5 Home Care is under spent by £492k at year-end. This includes underspends in management and coordination and reflects a number of unfilled vacancies across the contracted workforce. It also includes levels of targeted savings in the Overnight Support Service and general home care redesign that are to be directed to the introduction of a reablement team, an urgent care response team and the roll-out of contracts across the whole of the workforce.
- 4.6 The combined underspend for home care services and self directed support is £620k at year-end.

5. Head of Partnership Services

Head of Partnership Services at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Podiatry	460	463	(3)	460	463	(3)
Dietetics	189	196	(7)	189	196	(7)
Occupational Therapy	494	502	(8)	494	502	(8)
Physiotherapy	906	921	(15)	906	921	(15)
Community Care	1,779	1,726	53	1,779	1,726	53
Integration Funds	1,283	1,301	(18)	1,283	1,301	(18)
Criminal Justice	247	206	41	247	206	41
Assessment and Care Services	639	498	141	639	498	141
Commissioning and Partners	3,485	3,296	189	3,485	3,296	189
Adult Mainland Placements	1,728	1,672	56	1,728	1,672	56
Surplus/ (Deficit)	11,210	10,781	429	11,210	10,781	429

- 5.1 The above table shows the spending position on the Head of Partnership's budgets. There is a £429k underspend at the year-end.
- 5.2 The Assessment and Care Services is £141k underspend due in part to lower Direct Payments than budgeted for (£127k).
- 5.3 Commissioning and Partners is underspend by £189k actual income was significantly higher than had been previously forecast. This has been largely due to the financial assessment team reducing the number of outstanding assessments and these assessments generating higher actual charges than had been assumed previously.

6. Head of Dental Services

Head of Dental Services at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Community Dental inc. Oral Health	232	216	16	232	216	16
General Dental Services	2,966	2,850	116	2,966	2,850	116
Surplus/ (Deficit)	3,198	3,066	132	3,198	3,066	132

6.1 The above table shows the spending position on the Head of Dental's budgets. The General Dental Service is underspent £116k due to vacancies within the Western Isles Dental Centre.

7. Head of Mental Health Services

Head of Mental Health Services at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Mental Health Management	341	264	77	341	264	77
Mental Health Consultants	417	443	(26)	417	443	(26)
Mental Health Nursing	1,862	1,857	5	1,862	1,857	5
Surplus/ (Deficit)	2,620	2,564	56	2,620	2,564	56

7.1 The above table shows the spending position on the Head of Mental Health's budgets. There is a year-end underspend of £56k on Mental Health Services.

8. Associate Medical Director

Associate Medical Director at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Community Medical	249	248	1	249	248	1
GMS	6,187	6,202	(15)	6,187	6,202	(15)
GPS - Prescribing	5,801	5,795	6	5,801	5,795	6
FHS	1,556	1,556	0	1,556	1,556	0
Out of Hours	1,222	1,283	(61)	1,222	1,283	(61)
Surplus/ (Deficit)	15,015	15,084	(69)	15,015	15,084	(69)

8.1 The above table shows the spending position on the Associate Medical Director's budget.

- 8.2 The overspend on the Out of Hours service is due to an increased superannuation bill and an increase in weekend service provision in the Uists.

9. Alcohol and Drugs Partnership

Alcohol & Drugs Partnership at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Alcohol and Drugs Partnership	531	531	0	531	531	0
Surplus/ (Deficit)	531	531	0	531	531	0

- 9.1 The above table shows the spending position on the Alcohol and Drugs Partnership budget.

10. NHS Set Aside

Set Aside at Month 12	Year to Date			Full Year Projection		
	Budget	Actual	Variance under/ (over)	Budget	Actual	Variance under/ (over)
	£'000	£'000	£'000	£'000	£'000	£'000
Acute Nursing	3,780	3,784	(4)	3,780	3,784	(4)
SLA - General Medicine	549	549	0	549	549	0
General Medical Consultants	653	653	0	653	653	0
Pharmacy	365	361	4	365	361	4
ECR - Adult Mental Health	589	589	0	589	589	0
Surplus/ (Deficit)	5,936	5,936	0	5,936	5,936	0

- 10.1 The above table shows the spending position on the NHS Set Aside budget. The set aside budget is showing a break even position at year-end.
- 10.2 The set aside budget includes those areas not managed directly by the IJB but where the budget falls under the remit of the IJB. The Acute Nursing budget includes the medical and rehabilitation wards together with A&E. The pharmacy budget relates to the drugs prescribed on wards or community areas within the IJB.
- 10.3 There is a year-end overspend of £4k on acute nursing (within the acute set aside) after a transfer of £169k of NHS contingency. High bed occupancy and delayed discharges impacted on the wards' ability to manage within establishment where there are high levels of sickness or maternity leave.
- 10.4 The budget for Adult Mental Health placements (NHS Partner) is showing a breakeven position at year-end after a transfer of £240k NHS contingency. This is due to 3 long term acute placements, 2 in a low secure unit at a private facility and 1

in New Craigs but with a higher package of care than is normally required for NHS Western Isles' patients.