

Integration Joint Board



Internal Audit Progress 2020/21

**Report to the IJB Audit Committee for the period
1 April 2020 to 4 June 2020**

4th June 2020

**INTEGRATION JOINT BOARD
INTERNAL AUDIT PROGRESS REPORT TO THE AUDIT COMMITTEE FOR
THE PERIOD FROM 1 APRIL 2020 TO 4 JUNE 2020**

I Introduction

101 This report summarises the internal audit activity within the Integration Joint Board for the period covering 1 April 2020 to 4 June 2020. The activity has been based on the Strategic Audit Plan for the three years to 31 March 2022 and the Operational Internal Audit Plan for 2020/21, both of which have been approved by the Audit Committee.

II Reports and work in progress in respect of 2019/20

201 All work completed for 2019/20 with no outstanding items of reporting.

III Reports and work in progress in respect of 2020/21

301 Work included in the annual plan for 2020/21 and which is currently in progress includes:-

- Commencement of follow up work once the implementation dates have expired and/or once a new Chief Officer has been appointed.
- Planned work for 2020/21 is scheduled to commence in August and Terms of References have been issued.

IV Follow up reviews

401 An important part of the Internal Audit process is to follow up previous reports to confirm whether recommended actions have been implemented. In general, follow up reviews are undertaken approximately six to twelve months after the original study. The Audit Committee is asked to consider the following follow up report at the June 2020 meeting:-

Report number	Title	Date of issue
	Planned follow up work has been rescheduled as a number of recommendations had been allocated to the Chief Officer and the post is currently vacant.	

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V Conclusion

- 501 In preparing the 2020/21 Operational Plan cognisance has been taken to set a realistic and achievable plan whilst meeting the risk priorities of the IJB and note that appropriate progress is being made towards achieving the approved plan.
- 502 The Annual Report and Assurance Statement has been completed for 2019/20 and matters relating to governance, risk and finance have been raised which will need to be taken forward by the relevant IJB senior managers. Unfortunately, the arrangements that were made to discuss the outstanding actions allocated to the Chief Officer in order that these could be progressed had to be cancelled due to the need to address urgent COVID-19 response. In the absence of an interim Chief Officer this will have to be postponed until one is appointed.
- 503 We are making appropriate progress with the plan and work will commence in August 2020 with relevant staff. In the event of any concerns arising which we would believe may affect the outcome of the plan, this would be reported in a timely manner to the Committee.

Internal Audit
4th June 2020

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Appendix A

Summary of progress against planned internal audit work 2020/21

Review	Reference (where applicable)	Status
Internal Audit Work 2020/21		
Workforce Planning and Organisational Development	IJB 2	Not started
Project Management of Capital Investment and Delivery	IJB 4	Not started
Annual & Progress Reporting for 2018/19 & 2019/20		
IJB - Internal Audit Annual Report		4 th June 2020
Progress Reporting to IJB Audit Committee		4 th June 2020
Meetings and attendance at IJB Audit Committee		
General communications, drafting and clearing meeting, advice and liaison with Parent bodies and appointed Internal and External Auditors		In progress
Follow Up Reporting		
Preparation and review of previously issued reports and progress reporting		Work will commence after completion dates agreed with management and appointment of a Chief Officer