

Integration Joint Board



Internal Audit Progress 2019/20

Report to the IJB Audit Committee for the period
1 April 2019 to 25 November 2019

25th November 2019

**INTEGRATION JOINT BOARD
INTERNAL AUDIT PROGRESS REPORT TO THE AUDIT COMMITTEE FOR
THE PERIOD FROM 1 APRIL 2019 TO 25 NOVEMBER 2019**

I Introduction

101 This report summarises the internal audit activity within the Integration Joint Board for the period covering 1 April 2019 to 25 November 2019. The activity has been based on the Strategic Audit Plan for the three years to 31 March 2022 and the Operational Internal Audit Plan for 2019/20, both of which have been approved by the Audit Committee.

II Reports and work in progress in respect of 2018/19

201 All work completed for 2018/19 with no outstanding items of reporting.

III Reports and work in progress in respect of 2019/20

301 Work included in the annual plan for 2019/20 and which is currently in progress includes:-

- Commencement of follow up work once the implementation dates have expired.
- Year-end information and preparation for reporting will commence in March 20
- Preparation of the Operational Plan for 2020/21

IV Follow up reviews

401 An important part of the Internal Audit process is to follow up previous reports to confirm whether recommended actions have been implemented. In general, follow up reviews are undertaken approximately six to twelve months after the original study. The Audit Committee is asked to consider the following follow up report at the September 2019 meeting:-

Report number	Title	Date of issue
	No outstanding follow up work.	

**INTEGRATION JOINT BOARD
INTERNAL AUDIT PROGRESS REPORT TO THE AUDIT COMMITTEE FOR
THE PERIOD FROM 1 APRIL 2019 TO 25 NOVEMBER 2019**

V Conclusion

- 501 In preparing the 2019/20 Operational Plan cognisance has been taken to set a realistic and achievable plan whilst meeting the risk priorities of the IJB and note that appropriate progress is being made towards achieving the approved plan.
- 502 Work commenced on the planned reviews for 2019/20 during late summer and autumn and these have now been completed for presentation to the December 2019 meeting of the IJB Audit Committee.
- 503 We are making appropriate progress with the plan. In the event of any concerns arising which we would believe may affect the outcome of the plan, this would be reported in a timely manner to the Committee.

Internal Audit
25th November 2019

**INTEGRATION JOINT BOARD
INTERNAL AUDIT PROGRESS REPORT TO THE AUDIT COMMITTEE FOR
THE PERIOD FROM 1 APRIL 2019 TO 25 NOVEMBER 2019**

Appendix A

Summary of progress against planned internal audit work 2019/20

Review	Reference (where applicable)	Status
Internal Audit Work 2019/20		
Risk Management	IJB 1	Final Report issued 21 st November 2019
Financial Planning, management and savings	IJB 3	Final Report issued 21 st November 2019
Business Continuity Planning and Disaster Recovery	IJB 6	Final Report issued 21 st November 2019
Annual & Progress Reporting for 2018/19 & 2019/20		
IJB - Internal Audit Annual Report		Report issued 17 th June 2019
Progress Reporting to IJB Audit Committee		Report issued 16 th August 2019
Progress Reporting to IJB Audit Committee		Report issued 4 th September 2019
Progress Reporting to IJB Audit Committee		Report issued 25 th November 2019
Meetings and attendance at IJB Audit Committee		
General communications, drafting and clearing meeting, advice and liaison with Parent bodies and appointed Internal and External Auditors		In progress
Follow Up Reporting		
Preparation and review of previously issued reports and progress reporting		Work will commence after completion dates agreed with management.